

NAPA PARK HOMES

790 Lincoln Avenue, Napa, CA 94558

Professionally Managed by Napa Valley Community Housing since 2005

Waitlist Application Checklist

Thank you for your interest in Napa Park Homes rental housing. For your convenience we've summarized below the items you must bring when you submit your waitlist application. Applications cannot be accepted until all items, applicable to your household, are present. This means that every form must be completed in full and required documents provided. Thank you!

- Completed and Signed Waitlist Application.
- Completed and signed Race and Ethnicity forms, one per household.
- Most recent Pay Check Stub for all applicants' who are working or receiving unemployment. Note: If pay amounts vary from one pay period to the next, it is advisable to provide more than one pay stub.
- State or National photo ID for each adult household member.
- Current Social Security, SDI or SSI award letter, if anyone in the household is receiving benefits
- Current EDD or other benefit letter, if anyone in the household is receiving Unemployment, Disability or Workers Compensation.
- Current Passport to Services letter, if receiving public assistance (TANF, food stamps, etc.)



EQUAL OPPORTUNITY HOUSING

Napa Valley Community Housing
5 Financial Plaza, Suite 200
Napa, CA 94558
(707) 253-6140
www.nvch.org

NAPA PARK HOMES, INC.
790 Lincoln Avenue, Napa, CA 94558

OFFICE USE ONLY ONE / TWO / THREE

APPLICATION FOR WAITING LIST

Please complete all sections by printing in ink. DO NOT LEAVE ANY SECTION BLANK. If it does not apply, write "N/A." Extra space is provided on the back. Thank you

APPLICANT/Last Name (Head of Household)	First Name	Middle Initial	Driver's License/I.D.#
SPOUSE (CO-TENANT)/Last Name	First Name	Middle Initial	Driver's License/I.D.#
Present Address/Street No.	City, State, Zip	Length of Residence	Phone Number ()

TENANT HISTORY (Five [5] years minimum) Address City		State	Length of Stay	Landlord Name/Phone

HOUSEHOLD COMPOSITION: List all persons, including yourself, who will reside in the unit. *Note:* The number to the left indicates the "Household Member Number" and is the number requested to indicate a particular household member in the remaining sections of this application.

#	Full Name	Relationship to Head	Sex (Circle one)	Age	Birthdate	Birthplace/ (U.S. City or Foreign)	Occupation	Social Security or Alien Registration #
1		Head of Household	M F					
2			M F					
3			M F					
4			M F					
5			M F					
6			M F					
7			M F					

INCOME * EMPLOYMENT ONLY: List all full-time, part-time and/or seasonal employment for EACH household member, including self-employment earnings. Include Overtime Pay, Tips and Bonuses. (If you have income from "other" sources, see next page.)

HH Member #	Place of Employment	Employment Address (Including Zip code)	Employer Phone	Name of Supervisor	Annual Income (Yearly Total)

--	--	--	--	--	--

PREFERENCES: To indicate that your household qualifies for one of the Preferences established for this property, check the applicable box below.

<input type="checkbox"/>	My household has been displaced from housing by government action.
<input type="checkbox"/>	My household has been displaced from housing due to a presidentially declared disaster.

INCOME FROM OTHER SOURCES: Please list ALL income from sources other than "employment" for ALL family members. This Includes but is not limited to, Welfare, TANF, Social Security, SSI, SDI or other Disability, Death Benefits, Unemployment, Alimony, Child Support, etc.

HH Member Income Number	Source of Income	Address of Source	Annual Income (Yearly Total)
			\$
			\$
			\$

CHECKING ACCOUNTS:

HH Member Number	Account Number	Bank Name	Complete Bank Address	Current Balance	Interest Rate
				\$	%
				\$	%
				\$	%

SAVINGS ACCOUNTS:

HH Member Number	Account Number	Bank Name	Complete Bank Address Rate	Current Balance	Interest Rate
				\$	%
				\$	%
				\$	%

STOCKS, BONDS, CREDIT UNION SHARES, ETC.:

HH Member Number	Description of Asset	Current Value	Annual Income From this Asset
		\$	\$
		\$	\$

REAL ESTATE: DO YOU OWN REAL ESTATE? Yes___ No___ If Yes, are you receiving any income from this property? Yes
No___

If Yes, ADDRESS OF PROPERTY:

Annual INCOME from this Property: \$ _____ EXPENSES (type/amount):

MEDICAL AND/OR CHILD CARE EXPENSES:

CHECK IF HEAD OF HOUSEHOLD OR SPOUSE IS: 1) Handicapped () 2) Disabled () 3) Age 62 or Older ()

If you checked box 1, 2, or 3 above, please list below out-of-pocket medical expenses not covered by Insurance here:

DO YOU INCUR CHILDCARE OR DISABLED ADULT CARE COST/S? Yes () No ()

ARE ALL ADULT FAMILY MEMBERS EITHER WORKING, STUDYING OR SEEKING EMPLOYMENT? Yes () No ()

If you answered "Yes" to the above two (2) questions, please list payments made to childcare provider below.

HH Member Number	Description of Expense/s	Paid To	Address	Cost per Month
				\$
				\$

AUTOMOBILE / VEHICLE: List ALL motor vehicles owned by/registered to a family member. (Include Motorcycles)

HH Member Number	Name of Registered Owner	Model/Make	Year	License Plate Number	State	Color

Please indicate your answers to the following questions:

1. Have you sold or given away any assets in the last two years for less than its fair market value?	[] Yes*	[] No [1]
2. Have you ever been evicted from tenancy?	[] Yes*	[] No
[2] 3. Have you ever been terminated from a housing program because of fraud, non-payment of rent or failure to comply with a recertification process?	[] Yes*	[] No [3]
4. Has any adult in your household filed for bankruptcy in the last three years?	[] Yes*	[] No [4]
5. Does your household have debts of more than \$6,000 which are currently in collection?	[] Yes*	[] No [5]
6. Has any member of your household been convicted of a crime in the last five (5) years? (Including the use, manufacture or sale of illegal drugs or domestic violence)	[] Yes*	[] No [6]
7. Have you ever-applied to live at this property before?	[] Yes*	[] No [7]
8. Is at least one member of your household a U.S. citizen or qualify as a U.S. legal Non-citizen?	[] Yes*	[] No [8]
9. Does anyone in your household need a unit with special features intended for persons with disabilities? <i>(No applicant, who is otherwise qualified, will be denied housing solely by reason of his/her disability)</i>	[] Yes*	[] No [9]
Describe special features required _____		
10. Do you anticipate any changes in your household size in the next twelve months?	[] Yes*	[] No [10]
11. Are any members of this household full-time students? List HH Members #'s _____		
12. How/Where did you hear about this housing facility? _____		
*Explain any "Yes" answers here: _____		

PLEASE NOTE: THIS IS A PRELIMINARY APPLICATION AND GIVES NO LEASE OR RENTAL RIGHTS. ADDITIONAL INFORMATION WILL BE REQUIRED AT A LATER DATE TO COMPLETE PROCESSING WHEN THE WAITLIST APPLICANT IS INVITED TO APPLY FOR A VACANT UNIT. WAITLIST APPLICANTS MUST UPDATE THEIR APPLICATION BY COMPLETING AND RETURNING A WAITLIST UPDATE FORM EVERY SIX MONTHS IN ORDER TO REMAIN ON THE LIST.

GROUND FOR DENIAL: An explanation of our Grounds for Denial for Housing Applications can be found within the attached Tenant Selection Plan, pgs. 10-11. Please acknowledge here that you have received a copy of the T.S.P. and located these reason for possible rejection. _

Initials, Head of Household

APPLICANT CERTIFICATION:

I/We certify that if I/We were selected to move into this project, the unit would be my/our only place of residence. I/We understand that the above information is being collected to make a preliminary determination of my/our eligibility for subsidized housing. I/We certify that the statements made in this application are true and correct to the best of my/our knowledge and belief. I/We understand that false statements of information are punishable under Federal Law.

_____ Head of Household	_____ Date	_____ Spouse/Co-Tenant	_____ Date
_____ Other Adult Household Member	_____ Date	_____ Other Adult Household Member	_____ Date
_____ Other Adult Household Member	_____ Date	_____ Other Adult Household Member	_____ Date

Received By: _____ Date: _____ Time: _____



Residency at Napa Park Homes is open to all eligible, qualified persons without regard to race, color, religion, national origin, age, disability, sex, familial status, ancestry, or sexual orientation.



Race and Ethnic Data Reporting Form
 U.S. Department of Housing & Urban Development
 Office of Housing

Napa Park Homes
 790 Lincoln Avenue
 Napa, CA 94558

Napa Valley Community Housing

Date _____

Management Agent

Data Collection for: Wait List Applicants Only

Please list All Members of your Household with Head of Household as #1):

- | | |
|----------|----------|
| 1. _____ | 5. _____ |
| 2. _____ | 6. _____ |
| 3. _____ | 7. _____ |
| 4. _____ | 8. _____ |
| | 9. _____ |

Ethnic Categories*	Select One Ethnic Category For <u>Each</u> Household Member								
	HH #1	2	3	4	5	6	7	8	9
Hispanic or Latino									
Not-Hispanic or Latino									
Racial Categories*	Select All Races that Apply For <u>Each</u> Household Member								
American Indian or Alaska Native									
Asian									
Black or African American									
Native Hawaiian or Other Pacific Islander									
White									
Other									
Other Categories	Add Information for <u>Each</u> Household Member								
Gender ("M" or "F")									
Age									
Disability ("Yes" or "No" for all over 5 years of age)									

***Definitions of these categories may be found on the reverse side.**

There is no penalty for persons who do not complete the form. Initial here if you choose not to disclose race and/or ethnicity information for your household. If you choose not to disclose, head of household ONLY should sign and date below. Otherwise every adult must sign:

 Head of Household Signature

 Adult Co-Tenant Signature

 Adult Co-Tenant Signature

 Adult Co-Tenant Signature

 Adult Co-Tenant Signature

 Adult Co-Tenant Signature

 Adult Co-Tenant Signature

 Adult Co-Tenant Signature

Instructions for the Race and Ethnic Data Reporting (Form HUD-27061-H)

A. General Instructions:

This form is to be completed by individuals wishing to be served in housing assisted by the Department of Housing and Urban Development.

Owners and agents are required to offer the applicant the option to complete the form. This combined "Household" form is to be completed at waitlist application. The information need not be provided again for those on the waiting list unless the head of household or household composition changes. Individual Race and Ethnicity forms, one for each household member, will need to be completed when an application for a unit vacancy is being submitted. There is no penalty for persons who do not complete the form. However, the owner or agent may place a note in the tenant file stating the applicant refused to disclose the requested information.

The Office of Housing has been given permission to use this form for gathering race and ethnic data in assisted housing programs. Completed documents are placed in the household's waitlist file.

1. The two ethnic categories you should choose from are defined below. You should check one of the two categories.

1. **Hispanic or Latino.** A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. The term "Spanish origin" can be used in addition to "Hispanic" or "Latino."
2. **Not Hispanic or Latino.** A person not of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.

2. The five racial categories to choose from are defined below: You should check as many as apply to you.

1. **American Indian or Alaska Native.** A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.
2. **Asian.** A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam
3. **Black or African American.** A person having origins in any of the black racial groups of Africa. Terms such as "Haitian" or "Negro" can be used in addition to "Black" or "African American."
4. **Native Hawaiian or Other Pacific Islander.** A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.
5. **White.** A person having origins in any of the original peoples of Europe, the Middle East or North Africa.

NAPA PARK HOMES
790 Lincoln Avenue, Napa, CA 94558

TENANT SELECTION PLAN

Effective July 1, 1997

Revised September 2010

Napa Park Homes is an equal opportunity housing development, designed to provide housing to eligible families under Section 236 of the National Housing Act and the Plan of Action developed under Title VI of the Low Income Housing Preservation and Residential Home ownership Act of 1990. Of the 140 units in the complex, 116 units are subsidized by Section 8 Loan Management Set-Aside Assistance.

Residency is open to all qualified, eligible persons. This site does not discriminate against applicants or residents on the basis of race, color, creed, religion, marital status, national origin, age, disability, medical condition, source of income, sex, sexual orientation, familial status or socioeconomic class.

Application Procedures

Taking Applications: NPH maintains a wait list of interested applicants, from which new tenants are drawn. During periods when the Wait List is open, applications will be accepted from anyone who wishes to submit one. A sign will be clearly posted near the office door, indicating whether the Wait List is currently open or closed. (*Generally the waiting list is closed when the number of households applying for any particular size unit is large enough to fill vacancies that occur during the next 12 months.*)

All Wait List applications must be filled out completely, signed, and submitted in person to the Napa Park Homes office. (Exception: out-of-state applications may be mailed to the office, as well as those from persons with disabilities who require this exception as a reasonable accommodation.) Applications submitted with information missing will not be processed until the applicant chooses to supply the remaining data. The applications of these households will not be dated or added to the Waiting List until such time as the application is complete.

Applicants who meet our Eligibility Criteria will be added to the Waiting List according to the date and time their completed application is submitted to the management office. The Certification process, which determines if a household qualifies for an apartment, is not undertaken until the time a vacancy actually occurs. When an apartment becomes available, a group of 10-15 applicants at the top of the wait list will be notified, asked to complete a full application and attend an applicant interview. All information submitted is subject to verification through a third-party source. The applicant's signature on the application indicates consent to this Certification process.

Notifying Applicants of Upcoming Vacancies: Although Wait List applications are maintained in the order in which they are received and applicants notified of an opening in the order in which their names appear on the Waiting List, there are a few special rules that influence who may be considered first for any opening. These rules appear below:

- 1) When filling vacancies, we consider first any current tenant who is on our *In-house Transfer List*. *These are tenants who have been asked to transfer or requested transfer for reasons that include meeting program requirements, medical needs, changes in family composition or for other approved needs.* Tenants on this list must meet the requirements of the NPH Unit & Program Transfer Policy. If there are no appropriate candidates on the transfer list, we then look to applicants on our Waiting List.
- 2) Families on the Wait List who have been *displaced by government action, or a presidentially declared disaster* also receive preference over other applicants on the Waiting List. This means they will be considered for a vacant apartment before others who are ahead of them on the waitlist. It should be kept in mind that being in a preference group does not guarantee acceptance, it only sets the order in which a household will be considered to fill a vacant unit. All households must qualify prior to acceptance. Napa Park Homes has no preference for elders.
- 3) It is important to note that, ultimately, apartments at NPH are offered on a “first qualified, first served basis.” Once notices soliciting applications have been sent to a group of wait list applicants, it is each applicant’s responsibility to respond by submitting application materials in a complete and timely manner, attending the applicant interview when scheduled, and cooperating completely with the Certification process. An uncooperative or unresponsive applicant, even though he/she is at the top of the waiting list, may not be the first to be offered an apartment if someone farther down on the wait list qualifies first.

Wait List Administration

Updates to the Wait List: Every six months a wait list update form will be mailed to households currently on the wait list. Those who receive these notices will have three weeks (21) days to complete and return their update to the management office.

Removal from the Wait List: Following are the primary reasons that a household may be removed from the NPH waitlist.

- 1) The applicant household fails to return a Wait list update form.
- 2) The applicant asks to be “bypassed” a second time when invited to apply for an available unit at the property.
- 3) The applicant declines the offer of an apartment after completing the application process and being found qualified.
- 4) The applicant requests that their household be removed from the Waitlist. (This may be done at any time.)

Income Targeting Requirements

Section 8 Assisted Units: HUD properties, such as Napa Park Homes, are required to rent no less than 40 percent of the assisted units that become available for occupancy during any given year to *extremely low-income* families (incomes at or below 30 percent of the Napa County Median Income, adjusted for family size). The remaining vacancies among the property's assisted units must be rented to *very-low income* families (income at or below 50% of the county area median).

Non-Assisted Units: Vacancies occurring among non-assisted units will be rented to households that have adjusted annual incomes at or below 80% of the Napa County Median, adjusted for family size. For the most part, these openings are filled by current residents whose income has increased and, therefore, no longer qualify for assistance.

How We Will Meet These Standards: The composition of the property's current waiting list enables the owner to adequately meet the HUD income-targeting requirements set forth above. It is our policy, however, to review the waitlist on an annual basis to determine if it is necessary to implement additional measures to assure that we continue to meet HUD's income targeting requirements.

If, at any point, we determine that taking applicants from the top of the waiting list does not allow us to meet HUD's income targeting requirements (40% of units coming available during any given year must go to extremely low-income families), then we will put into play a policy that allows us to skip over higher income households on the wait list in order to consider households farther down on the list who qualify at the extreme low income level. We will return to the higher income applicants who were skipped as soon as it is practical to do so.

Eligibility Criteria

To be invited to apply for a vacant unit, applicants must,

1. **Be on the current Wait List or the In-house Transfer List.** Note: Transfers are subject to the guidelines established by the NPH *Unit & Program Transfer Policy*. In part, these guidelines assure that no more than one transfer will be granted per vacancy, and any unit vacated by a transfer will be filled from the applicant waiting list. (See Transfer Policy, Exhibit II, for additional requirements.)
2. **Income-qualify** under Section 8 program guidelines. (See *Income Targeting*, above)
3. **Meet Unit Occupancy Standards**
The applicant household's size must fall within Napa Park Homes established unit occupancy standards. Minimum and maximum occupancies are as follows:

<u>Unit size</u>	<u>Minimum Persons</u>	<u>Maximum Persons</u>
1 Bedroom	1	3
2 Bedroom	2	5
3 Bedroom	3	7

In determining the bedroom size for which an applicant household qualifies, the owner will consider the following:

- A. All full-time members of the household;
- B. All anticipated children, including the following:
 - (1) Children expected to be born to a pregnant woman;
 - (2) Children in the process of being adopted by an adult family member;
 - (3) Children whose custody is being obtained by an adult family member;
 - (4) Foster children who will reside in the unit;
 - (5) Children who are temporarily in a foster home who will return to the family, and
 - (6) Children subject to joint custody arrangements who are present in the household 50% or more of the time.
 - (7) Children away at school, but living at home during school recess;
- C. Foster adults who will live in the unit;
- D. Members of the household who are *temporarily* absent from the household such as those who are hospitalized.
- E. Live-in Attendants.

NOTE: We do not count some family members, such as adult children on active military duty, permanently institutionalized family members or those who are considered visitors.

4. Eligibility of Students for Section 8 Assistance

- A. Management must determine a student's eligibility for Section 8 assistance at move-in and again at annual recertification, initial certification (when an in-place tenant begins receiving Section 8), and at the time of an interim recertification if one of the family composition changes reported is that a household member is enrolled as a student.
- B. Section 8 assistance shall not be provided to any individual who:

(1) Is enrolled as either a *part-time* or *full-time* student at an institution of higher education for the purpose of obtaining a degree, certificate, or other program leading to a recognized educational credential; (2) is under the age of 24; (3) Is not married; (4) is not a veteran of the United States Military; (5) does not have a dependent child; (6) is not a person with disabilities, as such term is defined in 3[b][3][E] of the United States Housing Act of 1937 (42 U.S.C. 1437a[b][3][E]) and was not receiving Section 8 assistance as of November 30, 2005); (7) is not living with his or her parents who are receiving Section 8 assistance; and (8) is not individually eligible to receive Section 8 assistance and has parents (the parents individually or jointly) who are not income eligible to receive Section 8 assistance.

C. For a student to be eligible, independent of his or her parents (where the income of the parents is not relevant), the student must demonstrate the absence of, or his or her independence from, the parent(s). Students must meet, at a minimum all of the following criteria to be eligible for Section 8 or Section 236 assistance. The student must:

(1) Be of legal contract age under state law; (2) have established a household separate from parents or legal guardians for at least one year prior to application for occupancy, (3) or meet the U.S. Department of Education's definition of an independent student. (4) He or she also must not be claimed as a dependent by parents or legal guardians pursuant to IRS regulations; and (5) must obtain a certification of the amount of financial assistance that will be provided by parents, signed by the individual providing the support. This certification is required even if no assistance will be provided.

5. Citizenship

Households applying for the Napa Park Homes Wait List must provide the Social Security number of at least one adult household member to show **eligibility**; however it should be noted that, *before* any household can be **qualified** for a vacant unit, they must provide documentation of SSN for all household members. So when households on the Waitlist apply for a unit, they are advised to have this documentation available.

Also, as part of determining whether a household qualifies and can be offered a unit at NPH (i.e., begin receiving Section 8 assistance), each household member who claims U.S. citizenship (by signing Section 214 Declaration forms) must have that status successfully authenticated (verified) by management staff.

Certification: The Process of Qualifying for an Apartment

When eligible Wait List applicants respond to an invitation to apply for an apartment opening, they will be asked to submit a full Application for Housing. This initiates the “Certification Process,” or the period during which Management closely evaluates the household and determines whether it is qualified for housing at this property. As part of this process, applicants must be willing to cooperate with verification of the following information:

1. Income and Asset Verification

Applicants must agree to have all income and assets verified by a third-party source, which means by the financial institution that holds the asset or the employer who issues the wages or agency that provides the assistance, etc. This helps us establish income eligibility and ability to pay rent.

HUD requires that we use the Existing Tenant Search in EIV (Enterprise Income Verification) as part of our screening criteria for new tenants. This report identifies applicants applying for assisted housing that may be receiving rental assistance at the time of application processing at another location. We must:

- (1) Use this report at the time we process an applicant for admission to determine if the applicant or any applicant household members are currently being assisted at another Multifamily Housing or Public and Indian Housing (PIH) location.

- (2) If the report identifies that the applicant or a member of the applicant’s household is residing at another location we will discuss it with the applicant and give the applicant the opportunity to explain any circumstances relative to his/her being assisted at another location. This may be a case where the applicant wants to move from his/her present location or where two assisted families share custody of a minor child.

- (3) We must follow up with the respective PHA or O/A to confirm the individual’s program participation status before admission, if necessary, depending on the outcome of the discussion with the applicant. The report gives us the ability to coordinate move-out and move-in dates with the PHA or O/A of the property at the other location.

- (4) We must retain the search results with the application along with any documentation obtained as a result of contacts with the applicant and the PHA and/or O/A at the other location.

2. Documentation of Social Security Numbers

Applicants must provide documentation of Social Security numbers for each household member who seeks housing assistance. If the applicant supplies documentation other than the *original* Social Security card, he or she must also certify that the documentation provided is complete and accurate. This requirement does not apply to mixed families that contain individuals who do not wish to contend eligibility status and who are not asking to receive HUD rental

assistance (see HUD Non-Citizen Rule). Only those household members who wish to receive assistance must provide documentation of Social Security numbers.

3. Documentation of Citizenship/Immigration Status

By law, only U.S. citizens and eligible non-citizens may benefit from federal rental assistance. These requirements apply to families making application to this property, families on the waiting list and tenants.

All family members, regardless of age, must provide verification of citizenship (citizens) or immigration status (non-citizens). Non-citizens (except those 62 years of age or older) must sign a Verification Consent Form and submit documentation of their status or sign a declaration, stating that they do not claim to have eligible status. Non-citizens, age 62 and older, must sign a declaration of eligible immigration status and provide a proof of age document. U.S. citizens must provide proof of citizenship.

Households that cannot provide documentation, showing that they contain at least one citizen or eligible non-citizen, will be considered ineligible and denied rental assistance. When eligible status is documented for *some*, but not *all* household members, the household can still be considered eligible but will be offered *prorated* assistance, based on the number of citizens and eligible non-citizens in their household.

4. Verification of Need for a Service or Companion Animal

If an applicant has a disability that requires the assistance of a service or companion animal, that disability and the applicant's need for the animal must be verified by a qualified third-party source. By obtaining this verification the animal may be exempted from some of the rules spelled out in the property's Pet Policy, as well as the requirement for a pet deposit. Many "pet" rules, however, will still apply, including those that insure the safety of other residents and guests to the community and those that encourage proper care of the animal and its living quarters.

Screening Criteria

We look for applicants who can demonstrate ability and willingness to pay their rent on time, to care for their unit and to abide by the Lease and House Rules. We draw this information from a number of sources:

1. Applicant Interview

Applicants must be willing to attend an interview with the on-site administrator. (All members of the household, 12 years of age and older, who are listed on the application must be present.) NOTE: Failure to respond to two (2) notices for an applicant interview or two (2) unexcused failures to attend an agreed upon

interview will result in cancellation of the application and removal of the applicant name from the waiting list.

2. **Credit Report**

Applicants must have an acceptable credit history in order to demonstrate they will be financially responsible tenants. An unacceptable credit history would be characterized by a credit report showing any of the following:

- A single unmet credit problem* in excess of \$2,000.
- Total unmet credit problems in excess of \$6,000.
- A bankruptcy within the last three years.
- A state or federal tax lien in excess of \$2,000.
- A total of ten (ten) or more credit problems of any value.
- A prior eviction or unlawful detainer filed against the applicant household.
- A municipal/small claims court judgement against the applicant obtained by a current or previous landlord.

*A credit problem is a past due account and/or accounts placed for collection, profit & loss (accounts written off by creditors), and/or repossessions that appear on your credit report.

Extenuating Circumstances: When looking at credit reports, we exclude debt attributed to family medical emergencies, financial difficulties arising as the result of a household member being called into military service, or debt accumulated as the direct result of acts of domestic violence against the applicant household. In addition, if the credit report contains a foreclosure, dated September 2007 or later, that bad debt can be overlooked if the applicant has an otherwise good credit history prior to and following the foreclosure. Each extenuating circumstance must be verifiable through a third-party source. Also we will look more favorably on an applicant with bad credit when a work-out agreement can be documented with their creditors showing payments have been made on the agreements for at least one year or that there are no remaining delinquent payments.

No Credit History: No applicant will be denied housing because they have no credit history, however additional letters of reference will be required.

3. **Tenant History**

We verify the last 5-7 years of an applicant's tenancies, and we look for favorable landlord references. We consider a reference favorable when a landlord is willing to report that members of the applicant household have made rental payments in a timely fashion, have maintained the premises in a clean and sanitary condition, have not violated the lease or house rules, have not invited unauthorized people to live in the unit with them, have supervised children and

guests and have not used the premises for unlawful activities. A rental history showing the applicant has been evicted from housing, had an unlawful detainer filed against them, did extensive damage to a unit or had extremely bad housekeeping habits are examples of a poor tenant history.

Note: If an applicant has no prior rental history, we will request a minimum of two additional personal references from individuals such as teachers, pastors, or other individuals not related to the applicant. We would prefer that these references be in writing, however, they may also be submitted orally when obtaining a written history is not possible. Names, addresses and phone numbers of oral references must be submitted in advance so that our staff can initiate the contact.

4. **Criminal Record**

We look for applicants whose criminal records are free of serious criminal violations. By this we mean convictions for crimes that threaten real property or others and their rights to the peaceful enjoyment of the premises, crimes that involve the use, manufacture or distribution of controlled substances or abuse of alcohol; crimes related to sexual offenses, or evictions by unlawful detainer. (See "Grounds for Denial," beginning on *page 10*, for more detail.) We will look at applicant records as far back as ten years, but place the greatest emphasis on the last five. Note: Live-in aides must also be screened for drug abuse and other criminal activity.

5. **Violence Against Womens' Act Protections**

The VAWA protections apply to families applying for or receiving rental assistance payments under the project-based Section 8 program. The law protects victims of domestic violence, dating violence or stalking, as well as their immediate family members generally, from being evicted or being denied housing assistance if an incident of violence that is reported and confirmed. The VAWA also provides that an incident of actual or threatened domestic violence, dating violence or stalking does not qualify as a serious or repeated violation of the lease nor does it constitute good cause for terminating the assistance, tenancy, or occupancy rights of the victim. Furthermore, criminal activity directly relating to domestic violence, dating violence or stalking is not grounds for terminating the victim's tenancy. Management may bifurcate a lease in order to evict, remove, or terminate the assistance of the offender while allowing the victim, who is a tenant or lawful occupant, to remain in the unit.

Applicants With Disabilities

Napa Park Homes does not discriminate on the basis of disability status in the admission or access to, or treatment or employment in its federally assisted programs and activities.

Reasonable Accommodations: NPH is required by law to consider requests for reasonable accommodations regarding the property's rules and/or modifications to a unit, the common areas or the site's rules, that enable an applicant or resident with a disability to have an equal opportunity to use and enjoy the site, or to participate in or have access to activities conducted or sponsored by the apartment community, unless taking such action would result in a fundamental alteration in the nature of this housing program.

How to Request a Reasonable Accommodation: Those desiring a reasonable accommodation/modification may make a request, either orally or in writing, at the Management Office. If their disability is such that they cannot complete a written request themselves, the management staff will provide assistance. Once the request has been made, verified and evaluated, management will return a prompt written response.

The Applicant Interview

Once an application for housing has been submitted for a current vacancy, the applicant household will be asked to come to the management office for an interview. All family members, age 12 and over, will be asked to attend. At that interview the management agent will:

1. Ask applicants to confirm and update all information provided on the application.
2. Explain program requirements, verification procedures and penalties for providing false information.
3. Obtain any other family income and composition information/documentation needed to determine eligibility.
4. Review the applicant's financial information.
5. Obtain a signed, *Release of Information* that allows us to verify with third-party sources the information on the application.
6. Obtain declaration of citizenship and consent forms for verification from all household members as appropriate.
7. Inform the applicant of the screening requirements used by the owner.
8. Require the head of household, spouse or co-head to give a written certification as to whether any family member did/did not dispose of any assets for less than fair market value during the two years preceding the effective date of the certification.
9. Require the head, spouse, or co-head and all family members age 6 or older to disclose and document all Social Security Numbers or execute a certification for any household member to whom a SSN has not been assigned.
10. Explain the property's Pet Policy.
11. Explain the property's policy in regard to persons with disabilities, their right to have the assistance of a Service or companion Animal and/or other reasonable accommodations or modifications to living and common areas so that they might enjoy an equal housing opportunity in this property.

12. Explain how HUD may use income and family composition information.

Grounds for Denial

An application will be disqualified for any one of the following reasons:

1. The applicant's household income does not meet income guidelines for this program.
2. The applicant has an unacceptable credit history, as reported by a credit agency. In other words, that credit history fails to meet the established "Screening Criteria" found on page 5 of this document.
3. Through rental histories obtained from current or previous landlords, it is verified that the applicant has poor or unsatisfactory housekeeping habits.
4. Falsification, misrepresentation or the intentional withholding of information when completing the application or failure to cooperate with the verification process.
5. Negative landlord references received from either present or past landlords where the applicant resided during the last five years.
6. The applicant's household size is not appropriate for the unit available.
7. Applicant's fails to sign designated or required forms and/or documents upon request.
8. The applicant household is unwilling to abide by the property's Pet Policy.
9. This will not be the applicant household's sole residence.
10. The applicant household is not capable of fulfilling the lease agreement or house rules, with or without assistance.
11. Any applicant household that contains a full or part-time student who is ineligible for assistance. (See *Eligibility Criteria*, item 4, for further information.)
12. Any member of the applicant household has been *evicted from a federally assisted site* within the past three years for drug-related criminal activity. (HUD "One Strike" Rule)
13. The applicant household has been evicted from any other housing or had an unlawful detainer filed by another housing provider during the past three years.
14. Any member of the applicant household is *currently engaged in illegal use of drugs*, or the *owner has reasonable cause to believe* that a household member's illegal use or pattern of illegal use of a drug may interfere with the health, safety and right to peaceful enjoyment of the property by other residents. (HUD "One Strike" Rule)
15. Any member of the applicant household has been *convicted* of a crime within the last five years that would be considered a threat to the health and safety of residents, owners, employees, or agents, or disrupts residents' rights to the peaceful enjoyment of the premises, including but not limited to violent criminal activity or the use, manufacture or distribution of controlled substances.
16. Any member of the applicant household is subject to a state *sex offender lifetime registration requirement*. (HUD "One Strike" Rule)
17. Criminal Reports or Tenant Histories indicate there is *reasonable cause to believe* that the behavior of any member of the applicant household, from abuse or pattern of abuse of alcohol, may interfere with the health, safety and right to

- peaceful enjoyment by other residents.
17. The applicant's *assistance or tenancy* in a subsidized housing program has been *terminated* for fraud, non-payment of rent or failure to cooperate with the recertification procedures.
 18. The applicant is unable to disclose and document SSN's of all household members who are at least 6 years of age or and is unwilling to sign a certification stating that the member in question hasn't been assigned a SSN.
 19. Household members do not sign and submit required verification consent forms or the Authorizations for Release of Information;
 20. The household includes members who didn't declare citizenship or non-citizenship status, or sign a statement electing not to contend non-citizen status.
 21. Results in three or more selection criteria areas (applicant interview, credit report, tenant history, criminal record) are at minimum acceptability levels.

Procedure for Notifying Applicants of Acceptance or Denial

Management will notify applicants via phone, once they successfully complete the application process, to offer them an apartment.

When an application is denied, the applicant will be notified in writing. The notice will be sent in a timely manner and explain the reason(s) the application was denied. It will also offer the applicant an opportunity to request a meeting with management to appeal the rejection if the applicant feels there is grounds for such a request. **(see Exhibit I)**

Grievance and Appeals Procedures

If the applicant requests a meeting to discuss the rejection of his/her application, the letter requesting that meeting must be received by the *Napa Valley Community Housing Office, 5 Financial Plaza, Suite 200, Napa, CA 94558*, within 14 days of the applicant's receipt of the rejection notice. The requested meeting will be held within a reasonable period of time (usually within one week) and management will be represented by a "disinterested" third party, that did not participate in the rejection decision. After the meeting, the applicant will be notified in writing within 5 business days whether or not the original eligibility decision has been changed. All application documents and correspondence must be kept in a confidential file for three (3) years.

If applicant denial is based on failure to meet immigration status requirements, an appeal may be filed directly with the Department of Homeland Security (DHS), within 30 days of the date the owner denies the application. The appeal should be mailed to the Immigration & Naturalization Service (a division of DHS), San Francisco District Office, 630 Sansome Street, S.F. 94111. After receiving the appeal request, the DHS will make a ruling within 30 days.

If the DHS decision will cause the applicant to be denied, or if the family chooses not to appeal to DHS, the family may request that the owner provide an informal hearing. The request for a hearing must be made either within 30 days of receiving the notice from the owner denying assistance, or within 30 days of receiving the DHS appeal decision.

The owner must retain all documents submitted as part of the appeal process for a minimum of five (5) years.

Security Deposit Requirements

Security Deposits are collected at the time of the initial lease execution. The amount of the security deposit will be an amount up to, but no greater than one month's total tenant rent payment, which includes the applicable utility allowance.

The amount of the security deposit established at move-in does not change when a tenant's rent changes. If a tenant household should transfer to another unit, management will charge a new security deposit, which must be paid prior to move-in. The security deposit on the new unit will be based on the tenant rent for the new unit.

Within *21 days* of the date a tenant household moves out of an apartment, whether they are transferring to another unit or moving out of the apartment community, management will either,

1. Refund the full security deposit, plus accrued interest, to tenants who do not owe any amounts under the lease; or
2. Provide the tenant with an itemized list of any unpaid rent, damages to the unit, and an estimated cost for repair, along with a statement of the tenant's rights under state and local laws. If the amount management claims is less than the security deposit plus accrued interest, management will refund the unused balance to the tenant.

House Rules

New tenants will receive a copy of Napa Park Homes' House Rules prior to move-in. This document outlines a number of common sense rules related to the safety, care and cleanliness of the property and the safety and comfort of the residents. The Rules address many topics, including guests and visitors, use of the laundry room, trash disposal, noise, fire safety, maintenance requests, drug free policy, pets, playground use, parking, emergency procedures, security deposits, move-out procedures, and the like.

Changes to the House Rules: In the event that changes are made to the House Rules, residents will be notified by written notice no less than *30 days* before the changes become effective.

Unit Inspections

Move-In: Before executing a lease, the owner and tenant must jointly inspect the unit. During the inspection, management prepares an inspection form indicating the condition of the unit. The condition of the unit must be decent, safe, sanitary and in good repair. If cleaning or repair is required, the owner must specify on the inspection form the date by which the work will be completed. That date must be no more than *30 days* after the

effective date of the lease. The tenant will have *five days* to report any additional deficiencies to the owner, and these will also be noted on the move-in inspection form.

Pre-Move-Out: Once notice is given, tenants will have an opportunity to schedule a pre-move-out inspection that will provide them with a list of any cleaning or damages to the unit that may be charged against their security deposit. This inspection must take place no more than two weeks prior to move-out and the tenant may or may not be present. With the information provided, the tenant will have an opportunity to take care of the cleaning and/or repairs prior to move out and thereby avoid charges to their Security Deposit. Any repairs or cleaning must be done to a professional standard, return the unit to its move-in condition and pass the final Move-Out Inspection in order to guarantee that charges to the Deposit will be waived.

Move-Out: After a tenant has moved out, management will again inspect the unit and complete a move-out report to be compared to the original move-in inspection report. The tenant may participate in this inspection if he/she so desires. Damages beyond normal wear and tear, if any, will be noted and the cost to repair or refurbish subtracted from the tenant Security Deposit.

Periodic: At various times during a tenancy, site staff or persons representing HUD or other monitoring agencies will select units for inspection. Residents must cooperate with any inspection for which they have received proper notice (at least 24 hours).

Requirement for Recertifying Tenant Income

1. All tenants receiving assistance must undergo an ANNUAL recertification of their household income. Each year, about 120 days before the recertification due date, management will request that the tenant provide current information on their household income and composition and supply any other information required by HUD for the purposes of determining the tenant rent and assistance payment, if any. The tenant must willingly provide an accurate statement of this information and do so no less than 35 days prior to their anniversary date. If this statement of information is not submitted as requested, management may impose the following penalties:
 - a) Require the tenant to pay the higher, HUD-approved market rent for the unit.
 - b) Implement any increase in rent resulting from the recertification processing without providing the 30-day notice otherwise required.
2. If any of the following changes occur, tenants must advise Management immediately so that an INTERIM recertification of income can be performed and rent adjusted accordingly:
 - a. Any household member moves out of the unit.
 - b. When the family proposes to move a new member in.
 - c. An adult member of the household, who was reported as unemployed on

- the most recent recertification, obtains employment.
- c. The household's income increases cumulatively by \$200 or more a month.

If the tenant does not advise management of these interim changes within 10 days of the date of the change, the same penalties listed in number one, above, may be imposed.

Tenants may also report any *decrease in income or any change in other factors* considered in calculating tenant rent. Unless management has confirmation that the decrease in income or change in other factors will last less than one month, management will verify the information and make the appropriate rent reduction. Management is not required to process interim recertifications if the change to income will last for a month or less.

Notification Regarding Changes To This Plan

If changes are made to this Tenant Selection Plan, Wait List applicants will be notified in writing along with their semi-annual wait list update request.

Applicant Signature

Date

Applicant Signature

Date

Applicant Signature

Date

Applicant Signature

Date

Napa Park Homes, Inc.
790 Lincoln Avenue * Napa, CA 94558
Ph. (707) 255-3119

Dear _____:

We regret to inform you that your application for residency at Napa Park Homes has been rejected for the following reason(s):

- _____ Your income is ABOVE the HUD approved Section 8 income limits for initial occupancy.
- _____ Your income is below the income limits for initial occupancy in our moderate income apartments, (Sec 236).
- _____ Your credit record did not meet our screening criteria; please be advised that you have a right to a free copy of your credit report, by contacting the issuing company. The issuing company is: National Credit Reporting Agency. You may dispute the accuracy or completeness of any part of the report.
- _____ Your records of current and/or previous tenancy did not meet our screening criteria.
- _____ You gave false information on your application;
- _____ We have not been able to verify this information:
- _____ You have no rental history, no credit history and no employment history;
- _____ You have been convicted for any type of crime that would be considered a real threat to the property or the ability of other residents to peaceful enjoyment of the premises, including but not limited to the use of, manufacture or distribution of illegal drugs.
- _____ Other: N/A

You may respond in writing to this letter or meet with the Property Manager to discuss this matter within fourteen (14) days from the date of this letter.

If at some future date you feel that your situation has changed sufficiently for you to be admitted onto the Waiting List, please contact us again.

Regards,

Sonia C. Lima Flores

Sonia C. Lima Flores, Property Manager
Napa Park Homes, Inc.

2010 Income Guidelines

(Effective date May 4, 2010)

- I. Applicants for Section 8 subsidy must have gross annual income at or below the *very low-income* limit for the Napa area as defined by HUD. They are as follows:

<u># Of People in Household</u>	<u>Maximum Household Income</u>
1	\$28,650
2	\$32,750
3	\$36,850
4	\$40,900
5	\$44,200
6	\$47,450
7	\$50,750

Of those qualified for Section 8 subsidy, 40% must be at incomes that are considered *extremely low*. Those income limits are as follows:

<u># Of People in Household</u>	<u>Maximum Household Income</u>
1	\$17,200
2	\$19,650
3	\$22,100
4	\$24,550
5	\$26,500
6	\$28,500
7	\$30,450

- II. Applicants for Non-Section 8 units must have adjusted annual incomes at or above the income guidelines set by Napa Park Homes. These guidelines are based on family size. They are as follows:

<u># Of People in Household</u>	<u>Maximum Household Income</u>
1	\$44,100
2	\$51,550
3	\$58,000
4	\$64,400
5	\$69,600
6	\$74,750
7	\$79,900

NOTE: THESE GUIDELINES ARE UPDATED ON AN ANNUAL BASIS, NOT NECESSARILY CONCURRENT WITH THE CALENDAR YEAR.